**Public Document Pack** 



# Resource Allocation Sub (Policy and Resources) Committee

Date: THURSDAY, 5 OCTOBER 2023

**Time:** 9.00 am

Venue: COMMITTEE ROOMS, 2ND FLOOR, WEST WING, GUILDHALL

Members: Deputy Christopher Hayward (Chairman) Deputy Henry Colthurst (Deputy Chairman) Deputy Randall Anderson Deputy Keith Bottomley Tijs Broeke Jason Groves Caroline Haines Deputy Shravan Joshi Catherine McGuinness Alderman Professor Michael Mainelli Deputy Andrien Meyers Deputy Alastair Moss Alderman Sir William Russell Ruby Sayed Tom Sleigh Deputy Sir Michael Snyder Deputy James Thomson

#### Enquiries: Polly Dunn polly.dunn@cityoflondon.gov.uk

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lan Thomas CBE Town Clerk and Chief Executive

#### AGENDA

NB: Certain items presented for information have been marked \* and will be taken without discussion, unless the Committee Clerk has been informed that a Member has questions or comments prior to the start of the meeting. These for information items have been collated into a supplementary agenda pack and circulated separately.

#### 1. APOLOGIES

# 2. MEMBERS DECLARATIONS UNDER THE CODE OF CONDUCT IN RESPECT OF ITEMS ON THE AGENDA

#### 3. MINUTES

To agree the public minutes and non-public summary of the Sub-Committee meeting held on 5 September 2023.

For Decision (Pages 5 - 8)

#### 4. **COMMUNITY INFRASTRUCTURE LEVY NEIGHBOURHOOD FUND -APPLICATIONS FOR APPROVAL** Report of the Managing Director City Bridge Foundation.

For Decision (Pages 9 - 24)

5. **\*CITY SURVEYOR'S BUSINESS PLAN 2023-28 QUARTER 1 2023/24 UPDATE** Report of the City Surveyor.

**For Information** 

6. **\*THE CITY SURVEYOR'S CORPORATE AND DEPARTMENTAL RISK REGISTER** Report of the City Surveyor.

**For Information** 

7. \*22/23 ENERGY & DECARBONISATION PERFORMANCE Q3 UPDATE FOR THE OPERATIONAL PORTFOLIO Report of the City Surveyor.

**For Information** 

#### 8. QUESTIONS ON MATTERS RELATING TO THE WORK OF THE SUB-COMMITTEE

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#### 9. ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT

#### 10. EXCLUSION OF THE PUBLIC

MOTION – That under Section 100(A) of the Local Government Act 1972, the public be excluded from the meeting for the following items on the grounds that they involve the likely disclosure of exempt information as defined in Part 1 of the Schedule 12A of the Local Government Act.

**For Decision** 

#### Part 2 – Non-Public Agenda

#### 11. NON-PUBLIC MINUTES

To agree the non-public minutes of the Sub-Committee meeting held on 5 September 2023.

For Decision (Pages 25 - 28)

#### 12. TFL AND REINSTATEMENT OF ARTHUR STREET SHAFT (BANK STATION CAPACITY UPGRADE PROJECT)

Joint report of the City Surveyor and Executive Director Environment.

Appendix 2 is contained within a supplementary pack.

For Decision (Pages 29 - 60)

#### 13. **PROGRESS UPDATE ON NET ZERO BUILDING DESIGN STANDARDS** Report of the City Surveyor.

Appendix 1 is contained within a supplementary pack.

For Discussion (Pages 61 - 78)

#### 14. QUESTIONS ON MATTERS RELATING TO THE WORK OF THE SUB-COMMITTEE

#### 15. ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT AND WHICH THE SUB-COMMITTEE AGREE SHOULD BE CONSIDERED WHILST THE PUBLIC ARE EXCLUDED

# Agenda Item 3

#### **RESOURCE ALLOCATION SUB (POLICY AND RESOURCES) COMMITTEE**

#### Tuesday, 5 September 2023

Minutes of the meeting of the Resource Allocation Sub (Policy and Resources) Committee held at Committee Rooms, 2nd Floor, West Wing, Guildhall on Tuesday, 5 September 2023 at 3.00 pm

#### Present

#### Members:

Deputy Christopher Hayward (Chairman)	Deputy Shravan Joshi
Deputy Henry Colthurst (Deputy Chairman)	Catherine McGuinness
Deputy Randall Anderson	Alderman Professor Michael Mainelli
Deputy Keith Bottomley	Deputy Andrien Meyers
Tijs Broeke	Tom Sleigh
Jason Groves	Deputy James Thomson
Caroline Haines	

#### In Attendance

#### Officers:

Officers:			
lan Thomas	-	Town Clerk	
Polly Dunn	-	Town Clerk's Department	
Michael Cogher	-	Comptroller & City Solicitor and Deputy Chief Executive	
Sonia Virdee	-	Chamberlain's Department	
Daniel Peattie	-	Chamberlain's Department	
Radwan Ahmed	-	Chamberlain's Department	
Bob Roberts	-	Deputy Town Clerk and Interim Executive Director	
		Environment	
lan Hughes	-	Environment Department	
Bhakti Depala	-	Environment Department	
Robert Murphy	-	City Surveyor's Department	
Peter Young	-	City Surveyor's Department	
Emma Moore	-	Chief Operating Officer	
Genine Whitehorne	-	Chief Operating Officer's Department	
Ali Littlewood	-	Chief Operating Officer's Department	
Dionne Corradine	-	Chief Strategy Officer	
Emily Tofield	-	Executive Director of Corporate Communications and External Affairs	
Emily Slatter	-	Office of the Policy Chairman	

#### 1. APOLOGIES

Apologies were received from Deputy Alastair Moss, Alderman Sir William Russell and Ruby Sayed. Ruby Sayed observed the meeting online.

#### 2. MEMBERS DECLARATIONS UNDER THE CODE OF CONDUCT IN RESPECT OF ITEMS ON THE AGENDA

There were no declarations.

#### 3. MINUTES

**RESOLVED**, that the public minutes and non-public summary of the meeting held on 24 May, be approved as an accurate record.

#### 4. TERMS OF REFERENCE

A question was raised as to whether a position on the Sub-Committee should be extended to the Chair of (what was) the Operational Property and Projects Sub-Committee, in order to ensure their expertise over operational property portfolio was not lost.

The Town Clerk confirmed that in order to change the composition of the Sub-Committee, a case would need to be made to Policy & Resources and then the decision taken to the Court of Common Council, for approval.

Instead, it was confirmed that a standing invitation could be issued to the Member in question and that they would be permitted to speak at meetings with the Chairman's permission.

**RESOLVED**, that the Sub-Committee's revised terms of reference, be noted.

#### 5. CAPITAL FUNDING UPDATE

**RESOLVED**, That Members:-

- i) Review the schemes summarised in Table 2 and, particularly in the context of the current financial climate, confirm their continued essential priority for release of funding at this time and accordingly:
- ii) Agree the release of up to £1.104m for the schemes progressing to the next Gateway in Table 2 from the reserves of City Fund (£0.604m), CIL (0.400m) and City's Cash (£0.100m)

#### 6. **REPORT OF ACTION TAKEN BETWEEN MEETINGS RESOLVED**, that the report be noted.

#### 7. QUESTIONS ON MATTERS RELATING TO THE WORK OF THE SUB-COMMITTEE

There was one question raised concerning the use of Reinforced Autoclaved Aerated Concrete (RAAC) within the City of London Corporation's property portfolio.

The City Surveyor provided an update and confirmed that work was ongoing, at pace, to establish which of the City's buildings were constructed in the relevant time periods and therefore which required surveying. Whilst some investigations had already been undertaken, the recent change in Government guidance meant that it was necessary to follow this up.

Ensuring safety was key, and Members were advised that there was no immediate risk. City Surveyor's Department were working closely with Corporate Health & Safety Team in taking matters forward.

For their next meeting, Members asked for a clear timeline on how the investigations were progressing.

#### ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT 8.

There was no other business.

#### **EXCLUSION OF THE PUBLIC** 9.

**RESOLVED**, That under Section 100(A) of the Local Government Act 1972, the public be excluded from the meeting for the following item(s) on the grounds that they involve the likely disclosure of exempt information as defined in Part 1 of the Schedule 12A of the Local Government Act.

#### 10. NON-PUBLIC MINUTES

**RESOLVED**, that the non-public minutes of the meeting held on 24 May 2023, be approved as an accurate record.

#### 11. NOTE OF THE INFORMAL RESOURCE ALLOCATION SUB-COMMITTEE AWAY DAY MEETING

Members received a note of the informal Resource Allocation Sub-Committee Away Day meeting, held on 23 June 2023.

#### 12. FUNDING STRATEGY

Members received a presentation from the Chamberlain and City Surveyor regarding the funding strategy for the City of London's Capital Programme.

#### 13. COMMUNITY INFRASTRUCTURE LEVY AND ON STREET PARKING RESERVE CAPITAL BIDS (QUARTER 1 - 2023/2024)

Members considered a report of the Interim Executive Director Environment regarding Quarter 1 bids for the Community Infrastructure Levy and On Street Parking Reserve Capital Bids.

#### 14. QUESTIONS ON MATTERS RELATING TO THE WORK OF THE SUB-COMMITTEE

There were no questions.

15. ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT AND WHICH THE SUB-COMMITTEE AGREE SHOULD BE CONSIDERED WHILST THE PUBLIC ARE EXCLUDED

There was no other business.

16. RESOURCE ALLOCATION SUB COMMITTEE AWAY DAY OUTCOMES -PEOPLE

All officers departed the meeting with the exception of the Chief Operating Officer, the Chief People Officer and Director of Human Resources, the Town the Chamberlain and the Executive Director of Corporate Clerk. Communications and Corporate Affairs.

Members considered a report of the Chief Operating Officer, concerning outcomes of the Resource Allocation Away Day and the People Strategy.

The meeting ended at 4.50 pm

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Chairman

Contact Officer: Polly Dunn polly.dunn@cityoflondon.gov.uk

<b>Committee(s):</b> Resource Allocation Sub (Policy and Resources) Committee – For decision	Dated: 05/10/2023
<b>Subject:</b> Community Infrastructure Levy Neighbourhood Fund – Applications for Approval	Public
Which outcomes in the City Corporation's Corporate Plan does this proposal aim to impact directly?	1, 2, 3, 4, 7, 10
Does this proposal require extra revenue and/or capital spending?	No
If so, how much?	N/A
What is the source of Funding?	N/A
Has this Funding Source been agreed with the Chamberlain's Department?	N/A
Report of: Managing Director of City Bridge Foundation	For Decision
<b>Report author:</b> Jack Joslin, Head of the Central Grants Unit	-

#### Summary

The City Corporation adopted a Community Infrastructure Levy (CIL) in 2014. National CIL Regulations require that 15% of CIL receipts be reserved for neighbourhood funding. Local authorities are required to consult with communities on how to best spend 15% of neighbourhood funding of from within the neighbourhood area. Local authorities are required to report annually on the collection and use of CIL funds, identifying separately the amount of funds allocated to neighbourhood funding.

The Community Infrastructure Levy Neighbourhood Fund (CILNF) application process is managed by the City Corporation's Central Grants Unit (CGU), with officers assessing applications and providing support to Committee in the consideration of larger applications. The administrative cost incurred in operating the City CILNF is recoverable from the 5% of City CIL funds allowed to cover such costs in Regulations.

Members are asked to note the ongoing work of the CILNF Public consultation and the new timeline. Additionally members are asked to approve for the CILNF to reopen to large proposals, while the public consultation concludes.

#### Recommendation

Members are recommended to:

1. note the current position of the CILNF, the launch of the public consultation and the amendment to the timeline;

- approve that the CILNF re-opens to large applications of between £50,000 to £500,000 while the public consultation reaches its conclusion and new criteria are launched in early 2024;
- 3. note the decision by the Planning and Transportation Committee to designate the Barbican and Golden Lane Neighbourhood Forum and Area. And approve interim arrangements to consult with the above Forum on applications to the wider CILNF.

#### Main Report

#### Background

- 1. Management of the City CILNF process is aligned with the City's existing grant allocation process, through the CGU. The City CILNF Funding Policy is set out at **Appendix 1**.
- 2. The City CILNF has been in operation since September 2020, providing a wide range of funding to support to City of London Communities. The Grant programme is open access and available to apply to throughout the year. In July 2022 the outcomes of a community consultation were outlined to the Policy and Resources Committee. The public consultation demonstrated strong support for the way the current programme operated.
- 3. At the meeting of this Sub-Committee in May 2023 Members agreed to close the CILNF to large applications over £50,000 to allow officers time to set up a public consultation on the grant programme.
- 4. At a meeting of the Planning and Transportation Committee in July 2023 members agreed an application to designate the Barbican and Golden Lane Neighbourhood Forum and Area.

#### **Current Position**

- 5. Since the meeting of the Sub-Committee in May 2023, CGU officers have worked with colleagues from across the City of London to develop a public consultation on the CILNF. The consultation invites City residents and workers to share their thoughts and ideas on how to shape the CIL Neighbourhood fund and, in anticipation of an increase in the number of applications, a steer on how to prioritise bids when there is an abundance of strong proposals.
- 6. To ensure that Public Consultations receive the most responses, they need to be delivered outside of the school holidays. For this reason, the CILNF consultation was delayed from July to the start of the new school year and went live on Wednesday 6 September.
- 7. The consultation will close on Wednesday 25 October and findings will be shared with this committee at its meeting on 30 November.

8. The CGU used the extra time to develop a communication plan drawing on internal and external networks across City of London both to maximise the number of respondents and to lay the foundations for future promotion of the CILNF and diversify the range of applicants. At the time of writing, the CGU has received triple the number of responses to last year's CILNF consultation.

#### Proposal

9. As stated above the Public Consultation and subsequent policy changes are likely to be agreed later in the calendar year with new criteria rolled out in early 2024. In order to ensure that the Community are not adversely impacted by the time extension to the CILNF public consultation, members are asked to re-open the CILNF under its current criteria to applications of between £50,000 and £500,000 to ensure that the fund can still respond to arising community needs, with a view to the new criteria taking effect in early 2024.

#### Barbican and Golden Lane Neighbourhood Forum and Area

- 10. At a meeting of the Planning and Transportation Committee in July 2023 members agreed an application to designate the Barbican and Golden Lane Neighbourhood Forum and Area. While the newly formed Forum looks to develop its neighbourhood plan, the Neighbourhood CIL would like to ensure that the Forum is consulted on applications that are delivered in the neighbourhood area, or where a City-wide proposal will benefit the area. If the neighbourhood forum has a neighbourhood plan in place, the amount of funding for consultation with them increases to 25% of the total Community Infrastructure Levy.
- 11. Under the current CILNF policy, where an application will have an impact on a specific ward, your Officers will consult with Members of that ward as part of the assessment process. An email is sent to all ward members requesting thoughts on a specific application with the fixed timeline to respond. The feedback provided by ward Members is then included into the assessment and recommendation process.
- 12. Members are asked to agree to the same process to allow for forum members of the newly approved Barbican and Golden Lane Neighbourhood Forum to be consulted on applications that will impact their specific agreed area, or where a City-Wide project will have demonstrable impact in the agreed area. This will allow for the CILNF to fulfil its statutory requirements while developing a good working relationship with the new Neighbourhood Forum.

#### **Corporate & Strategic Implications**

13. Corporate Plan Implications: the CILNF can resource community-led infrastructure improvements across the City and contribute towards meeting the 3 aims of the Corporate Plan 2018-23, particularly Contributing to a Flourishing Society and Shaping an Outstanding Environment. The CILNF can continue to contribute to the outputs of the new Corporate Plan and support its roll-out in 2024.

- 14. Security Implications: the CILNF fulfils a statutory requirement for the spending of CIL. There are no direct security implications, though future funded projects may bring security benefits.
- 15. Financial Implications: the CILNF makes use of that proportion of City CIL monies which are required by statute to be used to assist in the delivery of new infrastructure to meet community needs (15% of CIL funds). The costs of management of the grant application process will be met through the 5% of CIL funds set aside by statute to cover CIL administration.
- 16. Equalities and resourcing implications: the CILNF has been subject to an Equality Analysis Test of Relevance. This has concluded that there are no impacts arising from these proposals for protected groups and that a full Equality Analysis is not required.
- 17. Philanthropy implications: Projects funded by the CILNF may provide volunteering opportunities which can be offered to Officers via the Corporate Volunteering programme, 'LEAP', when appropriate.
- 18. Delivery of the Fund will be through existing staff resources in Departments. Staff resource requirements will be met through allocation of some of the City CIL funds set aside by statute to cover administration costs.

#### Conclusion

- 19. Community Infrastructure Levy legislation requires local authorities to reserve between 15% and 25% of CIL receipts for neighbourhood funding. Where there is no recognised parish or town council or neighbourhood forum, the local authority will retain the neighbourhood fund but must spend it on infrastructure which meets community needs. The local authority must consult the forum on how these funds will be used.
- 20. This report asks Members to note the ongoing work of the CILNF Public consultation and the new timeline. Additionally, members are asked to approve for the CILNF to re-open to large proposals, while the public consultation concludes.

#### Appendices

Appendix 1 – CIL Neighbourhood Fund Policy

#### **Background Papers**

Report to Planning and Transportation Committee 18/07/2023: Proposed Barbican and Golden Lane Neighbourhood Forum and Area

Report to Policy & Resources Committee 02/05/2019: City of London Community Infrastructure Levy – Approval of Neighbourhood Fund

#### Jack Joslin Head of Central Grants Unit E: jack.joslin@cityoflondon.gov.uk

# City of London Community Infrastructure Levy Neighbourhood Fund



# City of London Community Infrastructure Levy

# **Neighbourhood Fund**

### Introduction and legislative background

- 1. The Community Infrastructure Levy is a charge levied on new development, introduced by the Planning Act 2008. It is intended to help local authorities deliver the infrastructure needed to support development. The power to set a charge came into effect from April 2010, through the Community Infrastructure Levy Regulations 2010, which have subsequently been amended.
- 2. The City of London Corporation implemented a Community Infrastructure Levy (CIL) for the City of London from 1 July 2014.
- 3. Further information on the City CIL is available on the City Corporation's website at: <u>https://www.cityoflondon.gov.uk/services/environment-and-planning/planning-policy/Pages/Community-Infrastructure-Levy.aspx</u>

### **CIL Neighbourhood Fund Requirements**

- 4. Community Infrastructure Levy Regulations require that 15% of CIL receipts should be reserved to enable the delivery of neighbourhood priorities. These receipts should be passed directly to existing parish and town councils where development has taken place. Where a neighbourhood plan or neighbourhood development order has been made 25% of CIL receipts from development in the plan area is reserved for the delivery of neighbourhood priorities.
- 5. Where there is no existing parish, town or community council, neighbourhood plan or development order, then the local authority will retain neighbourhood CIL funds, but should engage with communities where development has taken place and agree with them how best to spend the neighbourhood CIL.
- 6. Within the City of London, there are no existing parish, town or community councils and no adopted neighbourhood plans or neighbourhood development orders. The City Corporation therefore retains the CIL Neighbourhood Fund and should seek community views on how this Fund should be used. In exercising this role, the City Corporation has considered whether specific communities or

neighbourhoods should be identified. However, given that the City is little over one square mile in area, the City Corporation considers that it should be regarded as a single neighbourhood for the purposes of collection and spending of CIL Neighbourhood Funds.

## What can CIL Neighbourhood Funds be used for?

- 7. CIL Regulation 59(F) requires that the Neighbourhood Fund be used to support the development of the neighbourhood. The scope of projects that can be funded by the Neighbourhood Fund is wider than that for general CIL funds and comprises:
  - a. The provision, improvement, replacement, operation or maintenance of infrastructure; or
  - b. Anything else that is concerned with addressing the demands that development places on an area.
- 8. This definition is deliberately wide and allows the City Corporation to work collaboratively with local communities to determine priorities and how the Fund should be used.

### Scale of the City CIL Neighbourhood Fund

- 9. The City of London CIL was implemented from 1 July 2014.
- 10. At July 2022, the total amount of CIL monies available through the CIL Neighbourhood Fund was £5.8 million.

## **Community Priorities**

11. The City Corporation has adopted a Regulation 123 List which identifies the types of infrastructure that it will consider funding using the Community Infrastructure Levy. This Regulation 123 List is kept under review and any proposals for change will be subject to public consultation. The current Regulation 123 List is available on the City Corporation's website at: <u>https://www.cityoflondon.gov.uk/services/environment-andplanning/planning-policy/Pages/Community-Infrastructure-Levy.aspx</u>. The Regulation 123 List is used principally to guide the use of

CIL monies outside of the Neighbourhood Fund.

12. In considering how to use the CIL Neighbourhood Fund, Planning Practice Guidance states that where there is no parish, town or community council, charging authorities should engage with communities where development has taken place on their priorities for funding.

- 13. The City Corporation consulted on priorities for the use of the City's CIL Neighbourhood Fund during May 2022. This consultation revealed support for the Fund to be used primarily to deliver infrastructure and services that meet local community identified needs.
- 14. The City's Neighbourhood Fund has been established to be applied to funding applications from local communities and community groups and to deliver improvements in infrastructure which have the potential to deliver benefit to City residents, workers and visitors. The Fund could be used for:
  - Smaller scale projects, deliverable for under £50,000, in response to locally identified needs.
  - Larger projects of over £50,000 and normally less than £500,000.

# **Community Definition**

15. The City of London has a resident population of approximately 8,000 and a daily working population of over 500,000 occupying nearly 9 million square metres of office floorspace. The City Corporation's Statement of Community Involvement already recognises that it is not appropriate to regard the 'local community' as just the resident community. For the purposes of the CIL Neighbourhood Fund, 'community' is defined as local residents, City workers and the owners and occupiers of City buildings.

# **Governance Process**

- 16. The City CIL Neighbourhood Fund will be allocated following consideration of valid applications (i.e. those that meet the adopted assessment criteria for the Neighbourhood Fund) from communities within the City of London or close to the City of London where projects support the development of the City. The determination of these applications will rest with the City Corporation. The City Corporation will publish details of funded applications on the City Corporation's website.
- 17. The City Corporation will prepare an annual report for the CIL Neighbourhood Fund as a separate item within the wider annual CIL and s106 monitoring report. The Neighbourhood Fund monitoring will include details of:

- Total CIL Neighbourhood Fund receipts for the reporting year;
- Total CIL Neighbourhood Fund expenditure for the reporting year;
- Details of CIL Neighbourhood Fund expenditure for the reporting year, including the amount spent on each individual project;
- Total CIL Neighbourhood Fund monies remaining.
- 18. City Communities will be consulted on an annual basis on community priorities for the City CIL Neighbourhood Fund. A full review of the Neighbourhood Fund, including priorities and governance, will be undertaken at least every 5 years.

## **Neighbourhood Fund Application Process**

- 19. The application process will be managed by the City Corporation's Central Grants Unit. Information about the Neighbourhood Fund and how to apply will be posted on the City Corporation's website at: <u>https://www.cityoflondon.gov.uk/services/environment-and-</u> planning/planning-policy/Pages/Community-Infrastructure-Levy.aspx
- 20. Fund applications can be made at any time and should be submitted via an online application form which will be posted on the City Corporation's website.

# Organisations eligible to bid for funding

- 21. Neighbourhood Fund applications will be accepted from the following types of organisation:
  - Constituted voluntary organisations and resident associations
  - Constituted business organisations and associations
  - Registered charities
  - Registered community interest companies
  - Charitable companies (incorporated as not for profit)
  - Registered charitable incorporated organisations
  - Exempt or excepted charities
  - Registered charitable industrial and provident society or charitable cooperative.

- 22. Applications should be from City-based organisations or should demonstrate City-based support. Applications cannot be accepted from individuals. Individuals who wish to apply for funding should do so through a City-based constituted organisation or group falling into the above definition. Applications will not be accepted from political parties or organisations involved in political lobbying.
- 23. Applications from City Corporation service departments will be accepted where they:
  - Have the support of a City-based community group, or
  - Can demonstrate that delivery will meet community priorities, either through consultation with communities, or through an adopted City Corporation strategy which can demonstrate community support.
- 24. Applications for infrastructure funding to mitigate the direct impacts of development will not be accepted. Such mitigation should be delivered as part of the development process and funded through s106 Planning Obligations.

### Assistance with Applications

25. The Central Grants Unit can provide assistance to applicants with the completion of application forms. Contact details are available on the City Corporation's website. The Central Grants Unit cannot provide assistance with project management or delivery of schemes funded through the Neighbourhood Fund.

## Assessment Criteria

- 26. Applications should demonstrate that funding will be used to meet the Regulatory requirements for CIL funding set out in Community Infrastructure Levy Regulations, namely to support the development of the area by:
  - a. the provision, improvement, replacement, operation or maintenance of infrastructure; or
  - b. anything else that is concerned with addressing the demands that development places on an area.
- 27. Infrastructure improvements funded through the Neighbourhood Fund should deliver improvements necessary to support development of the City. Normally, such funding will deliver new infrastructure, but funding will also be available to meet reasonable on-going maintenance costs.



Applications should, therefore, identify and include an allowance for future maintenance of any infrastructure to be provided.

- 28. CIL Regulations allow greater flexibility in the use of the Neighbourhood Fund compared with other CIL expenditure. Neighbourhood Funds may therefore be used to fund revenue expenditure. To avoid creating long term commitments on the Neighbourhood Fund, any requests for revenue funding should be clearly justified, showing demonstrable community benefit, and time limited to a maximum of 5 years.
- 29. In recognition of the value in providing continuous and consistent support to City communities through work funded via the CIL Neighbourhood Fund, organisations will be permitted to reapply for funding at the end of a grant. Any organisation seeking to reapply to the CILNF will have to demonstrate a successful track record of delivering positive outcomes for City communities in their previously funded work. The CIL Neighbourhood Fund will need to balance a portfolio of existing organisations and new applicants to the CIL Neighbourhood Fund to ensure that the funds available are not concentrated in a small number of returning organisations.
- 30. For larger projects of over £50,000, applications should also consider whether the project meets the priorities identified in the City Corporation's Regulation 123 List and projects identified in City Corporation strategies that have been subject to public consultation. Funding decisions will not be made solely on the basis of compliance, or otherwise, with the Regulation 123 List.
- 31. Applications should include evidence of the feasibility, deliverability and sustainability of the project.
- 32. Where possible, the application should be supported by a delivery plan or business plan, which sets out the timescales for delivery, that any necessary consents have been obtained and the mechanisms in place to ensure that the funds are used appropriately.
- 33. Projects should be delivered within a 12 month period from the grant of funding unless an alternative timescale has been agreed. If delivery over a longer timescale is anticipated, this should be set out clearly in the application and a justification provided for the extended timescale. The City Corporation will monitor delivery of projects, including taking action to ensure that projects are delivered on time, or seek to recover funds if projects do not proceed within agreed parameters.

- 34. Applications for funding in excess of £50,000 should demonstrate how the project will deliver value for money, including through the identification of any contributory or match funding. This can include contributions in time or expertise, for example, where a local community delivers infrastructure improvements themselves, but is not necessary for a successful bid.
- 35. Applications to fund projects which are already in receipt of other City CIL funding, or s106, s278 funding for site specific mitigation will not normally be accepted.
- 36. Developers may wish to support an application from a constituted Citybased organisation or group, as set out above, where the proposed infrastructure cannot be delivered through other means.

#### Value of Bids

- 37. The minimum value for applications for infrastructure funding is  $\pounds1,000$ .
- 38. Individual applications should normally not exceed £500,000. Information on the available funds will be published on the City Corporation's website on a quarterly basis to inform applications.
- 39. Applications in excess of £500,000 will only be considered in exceptional circumstances, where there is demonstrable benefit to more than one of the City's communities and where the proposal aligns with other City Corporation ambitions, set out in published strategies.

#### **Awards Process**

- 40. The determination of applications will be made through a combination of officer delegation and Committee approval, depending on the financial value of the application. The adopted thresholds accord with those used by the City Bridge Trust in its consideration of grant applications.
- Funding applications for under £25,000 will be determined by City Corporation officers under delegated authority. Decisions should normally be made within 12 weeks of the receipt of a valid application.
- 42. Applications for between £25,000 and £50,000 will be determined by a panel of City Corporation officers under delegated authority and in consultation with the Chairman and Deputy Chairman of the Resource Allocation Sub-Committee. Decisions should normally be made within 16 weeks of the receipt of a valid application.

- 43. Decisions taken under delegated authority will be reported to the Resource Allocations Sub-Committee.
- 44. Applications for over £50,000 will be considered by the City Corporation's Resource Allocation Sub-Committee, normally on a quarterly basis. Applications will be considered as items in the public part of the meeting agenda.

# Agenda Item 11

By virtue of paragraph(s) 3 of Part 1 of Schedule 12A of the Local Government Act 1972.

By virtue of paragraph(s) 3, 5 of Part 1 of Schedule 12A of the Local Government Act 1972.

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By virtue of paragraph(s) 3, 5 of Part 1 of Schedule 12A of the Local Government Act 1972.

# Agenda Item 13

By virtue of paragraph(s) 3 of Part 1 of Schedule 12A of the Local Government Act 1972.

By virtue of paragraph(s) 3 of Part 1 of Schedule 12A of the Local Government Act 1972.